

First order elliptic complexes of cone operators

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joint work with

Thomas Krainer

Geometric and Spectral Methods in Partial Differential Equations

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Outline

Task: Get a hold on the nature of the minimal and maximal domains of each of the operators of a first order elliptic complex of cone operators,

$$0 \rightarrow C_c^\infty(\overset{\circ}{\mathcal{X}}; E^0) \xrightarrow{A_0} C_c^\infty(\overset{\circ}{\mathcal{X}}; E^1) \rightarrow \dots \\ \dots \rightarrow C_c^\infty(\overset{\circ}{\mathcal{X}}; E^{m-1}) \xrightarrow{A_{m-1}} C_c^\infty(\overset{\circ}{\mathcal{X}}; E^m) \rightarrow 0,$$

on a compact manifold \mathcal{X} with boundary.

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on a compact manifold \mathcal{X} with boundary.

Fix a b -measure on \mathcal{X} , Hermitian forms on the vector bundles $E^q \rightarrow \mathcal{X}$ and a weight index $\gamma \in \mathbb{R}$, view the A_q as unbounded operators

$$A_q : C_c^\infty(\overset{\circ}{\mathcal{X}}; E^q) \subset x^{-\gamma} L^2(\mathcal{X}; E^q) \rightarrow x^{-\gamma} L^2(\mathcal{X}; E^{q+1}).$$

x def'n function for $\mathcal{Y} = \partial\mathcal{X}$,
 $x > 0$ in $\overset{\circ}{\mathcal{X}}$

$$A_q \in \frac{1}{x} \text{Diff}_b^1(\mathcal{X}; E^q, E^{q+1})$$

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$$\{(\mathcal{D}^0, \mathcal{D}^1, \dots, \mathcal{D}^{m-1}) : \mathcal{D}_{\min}^q \subset \mathcal{D}^q \subset \mathcal{D}_{\max}^q, A_q(\mathcal{D}^q) \subset \mathcal{D}_{q+1} \forall q\}$$

Set up

\mathcal{X} is a manifold with boundary, m_b a smooth positive b -density, the vector bundles $E^q \rightarrow \mathcal{X}$ are Hermitian, x is a positive defining function for $\mathcal{Y} = \partial\mathcal{X}$, and $\gamma \in \mathbb{R}$.

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Maximal domain:

$$\text{inner product } \langle u, v \rangle_q = \langle A_q u, A_q v \rangle + \langle u, v \rangle$$

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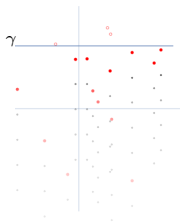
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$$a_{\sigma_j, k, \ell} \in C^\infty(\mathcal{Y}; E_{\mathcal{Y}}^q)$$



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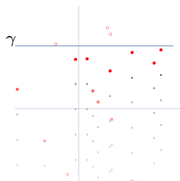
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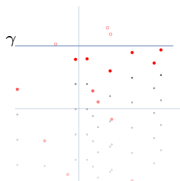
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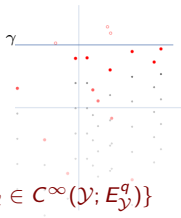


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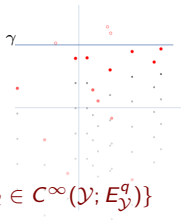
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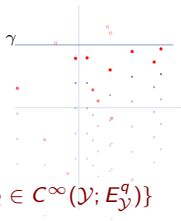
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$$\text{Taylor} \rightarrow A_q = A_q^{(0)} + x \tilde{A}_q^{(1)}$$

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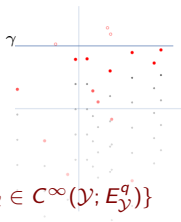
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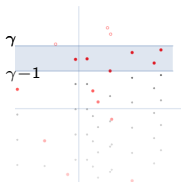
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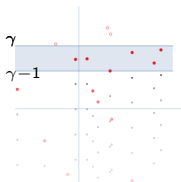
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Ellipticity of \square_q :

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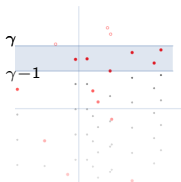
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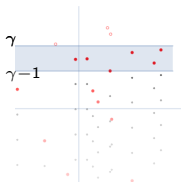
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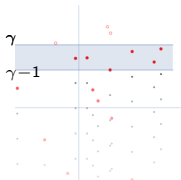
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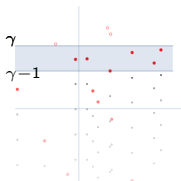
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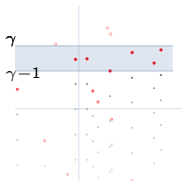
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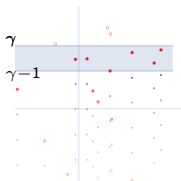
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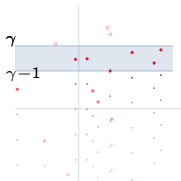
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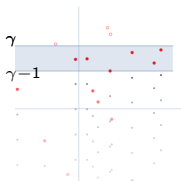
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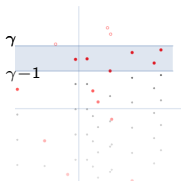
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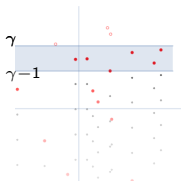
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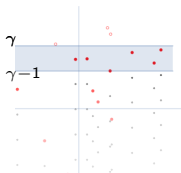
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Conversely:

$$u_{\sigma_j} \text{ cocycle} \implies \omega u_{\sigma_j} \in \mathcal{D}_{\max}^q.$$

$$\mathcal{S}_{\sigma_0}^q = \{x^{i\sigma_0} \sum_{\text{finite}} a_\ell \log^\ell x, a_\ell \in C^\infty(\mathcal{Y}; E_{\mathcal{Y}}^q)\}$$



$$\mathcal{E}^q = \ker(A_q^* A_q + I) \cap \mathcal{D}_{\max}^q \subset \ker(\square_q + I) \cap \mathcal{D}_{\max}^q$$

$$u \sim \sum_{\substack{\sigma_j \in \text{sp}_b(\square_q) \\ \Im \sigma_j < \gamma}} \sum_{k=0}^{\infty} u_{\sigma_j - ik}, \quad u_{\sigma_j - ik} \in \mathcal{S}_{\sigma_j - ik}^q$$

Ellipticity of \square_q :

$$u \in \mathcal{E}^q \implies u \in x^{-\gamma+\varepsilon} H_b^\infty,$$

$$u = \omega \sum_{\substack{\sigma_j \in \text{sp}_b(\square_q) \\ \gamma-1 \leq \Im \sigma_j < \gamma}} u_{\sigma_j} + v, \quad v \in x^{-\gamma+1+\varepsilon} H_b^\infty \subset \mathcal{D}_{\min}^q$$

$$A_q \in \frac{1}{x} \text{Diff}_b^1(\mathcal{X}; E^q, E^{q+1})$$

Taylor $\rightarrow A_q = A_q^{(0)} + x \tilde{A}_q^{(1)}$

$$A_{q+1}^{(0)} A_q^{(0)} = 0$$

$$\omega \sum_{\sigma_j \in \Sigma} u_{\sigma_j} \in \mathcal{D}_{\max}^q \iff A_q^{(0)} u_{\sigma_j} = 0$$

$$u - v \in x^{-\gamma+\varepsilon} H_b^\infty \text{ and } A_q(u - v) \in x^{-\gamma} L_b^2 \implies u - v \in \mathcal{D}_{\max}^q.$$

$$A_q(u - v) = A_q^{(0)}(u - v) + x \tilde{A}_q^{(1)}(u - v)$$

$\hookrightarrow \therefore \text{in } x^{-\gamma} L_b^2$

Consequence:

$$A_q^{(0)} u_{\sigma_j} = 0 \quad \text{because } \omega \mathcal{S}_{\sigma_j+i}^{q+1} \cap x^{-\gamma} L_b^2 = 0 \text{ if } \Im \sigma \geq \gamma - 1$$

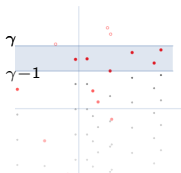
$\gamma - 1 \leq \Im \sigma_j < \gamma \implies u_{\sigma_j}$ is a cocycle of

$$\dots \rightarrow \mathcal{S}_{\sigma_j-i}^{q-1} \xrightarrow{A_{q-1}^{(0)}} \mathcal{S}_{\sigma_j}^q \xrightarrow{A_q^{(0)}} \mathcal{S}_{\sigma_j+i}^{q+1} \rightarrow \dots$$

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$$u_{\sigma_j} \text{ cocycle} \implies \omega u_{\sigma_j} \in \mathcal{D}_{\max}^q.$$

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\mathcal{E}^q

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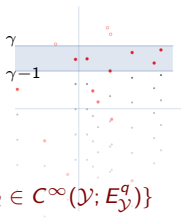
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$$u_0 \in \mathcal{S}_{\sigma_0}^q, \sigma_0 \in \underline{\Sigma} \text{ \& } A_q^{(0)} u_0 = 0$$

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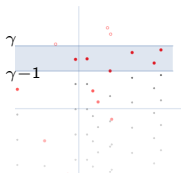
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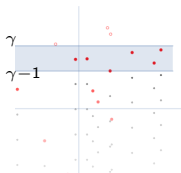
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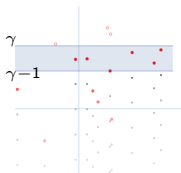
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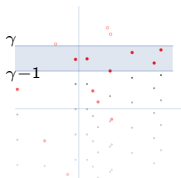
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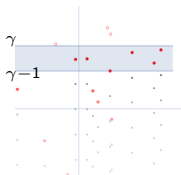
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Is that all?

$$\dots \rightarrow \mathcal{S}_{\sigma_j-i}^{q-1} \xrightarrow{A_{q-1}^{(0)}} \mathcal{S}_{\sigma_j}^q \xrightarrow{A_q^{(0)}} \mathcal{S}_{\sigma_j+i}^{q+1} \rightarrow \dots$$

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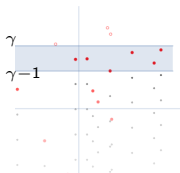
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Is that all? Show:

$$\sigma_0 \in \Sigma, u_{\sigma_0} \in \mathcal{S}_{\sigma_0}^q, \text{ and } \omega u_{\sigma_0} \in \mathcal{D}_{\min}^q \implies u_{\sigma_0} \in A_{q-1}^{(0)} \mathcal{S}_{\sigma_0-i}^{q-1}$$

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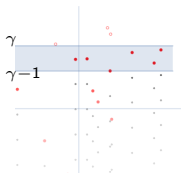
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$$\bigoplus_{\sigma_0 \in \Sigma} \mathcal{H}_{\sigma_0}^q(A^{(0)}) \approx \mathcal{D}_{\max}^q / \mathcal{D}_{\min}^q$$

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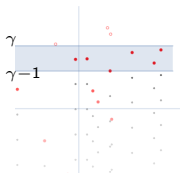
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But if $\mathfrak{S}\sigma_0 = \gamma - 1$, then $\omega u_0 \in \mathcal{D}_{\min}^q$

⊙ Dismiss terms $u_{\sigma_j} \in \mathcal{S}_{\sigma_j}^q$ with $\mathfrak{S}\sigma_j = \gamma - 1$:

$$u = \omega \sum_{\substack{\sigma_j \in \text{sp}_b(\square_q) \\ \gamma-1 < \mathfrak{S}\sigma_j < \gamma}} u_{\sigma_j} + v, \quad v \in \mathcal{D}_{\min}^q.$$

$\leftarrow \Sigma$

Next: If $v \in \mathcal{S}_{\sigma_0-i}^{q-1}$, then $\omega v \in \mathcal{D}_{\min}^{q-1}$.

So $A_{q-1}(\omega v) \in \mathcal{D}_{\min}^q$, also $\omega A_q^{(0)} v \in \mathcal{D}_{\min}^q$

⊙ Dismiss terms $u_{\sigma_j} \in A_{q-1}^{(0)} \mathcal{S}_{\sigma_0-i}^q$.

Is that all? Show:

$$\sigma_0 \in \Sigma, u_{\sigma_0} \in \mathcal{S}_{\sigma_0}^q, \text{ and } \omega u_{\sigma_0} \in \mathcal{D}_{\min}^q \implies u_{\sigma_0} \in A_{q-1}^{(0)} \mathcal{S}_{\sigma_0-i}^{q-1}$$

$$\dots \rightarrow \mathcal{S}_{\sigma_j-i}^{q-1} \xrightarrow{A_{q-1}^{(0)}} \mathcal{S}_{\sigma_j}^q \xrightarrow{A_q^{(0)}} \mathcal{S}_{\sigma_j+i}^{q+1} \rightarrow \dots$$

$$\bigoplus_{\sigma_0 \in \Sigma} \mathcal{H}_{\sigma_0}^q(A^{(0)}) \approx \mathcal{D}_{\max}^q / \mathcal{D}_{\min}^q \quad (\approx \mathcal{E}^q)$$

$$u \sim \sum_{\substack{\sigma_j \in \text{sp}_b(\square_q) \\ \mathfrak{S}\sigma_j < \gamma}} \sum_{k=0}^{\infty} u_{\sigma_j-ik}, \quad u_{\sigma_j-ik} \in \mathcal{S}_{\sigma_j-ik}^q$$

$$A_q \in \frac{1}{x} \text{Diff}_b^1(\mathcal{X}; E^q, E^{q+1})$$

$$A_q = A_q^{(0)} + x \tilde{A}_q^{(1)}$$

$$A_{q+1}^{(0)} A_q^{(0)} = 0$$

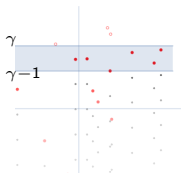
$$\omega \sum_{\sigma_j \in \underline{\Sigma}} u_{\sigma_j} \in \mathcal{D}_{\max}^q \iff A_q^{(0)} u_{\sigma_j} = 0$$

$$\underline{\Sigma} = \{\sigma \in \mathbb{C} : \gamma - 1 \leq \mathfrak{S}\sigma < \gamma\}$$

$$\mathcal{D}_{\max}^q \cap \left(\bigcap_{\varepsilon > 0} x^{-\gamma+1-\varepsilon} H_b^1(\mathcal{X}; E^q) \right) \subset \mathcal{D}_{\min}^q$$

$$A_q(\mathcal{D}_{\min}^q) \subset \mathcal{D}_{\min}^q$$

$$A_q(\mathcal{D}_{\max}^q) \subset \mathcal{D}_{\max}^q$$



$$\mathcal{S}_{\sigma_0}^q = \{x^{i\sigma_0} \sum_{\text{finite}} a_\ell \log^\ell x, \ a_\ell \in C^\infty(\mathcal{Y}; E_{\mathcal{Y}}^q)\}$$

\mathcal{E}^q

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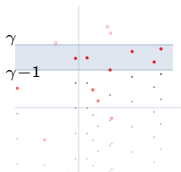
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Suppose $\sigma_0 \in \Sigma$, $u_{\sigma_0} \in \mathcal{S}_{\sigma_0}^q$, $A_q^{(0)} u_{\sigma_0} = 0$.

(†) $[A_q(\omega u_{\sigma_0}), w]_q = 0$ for all $w \in \mathcal{D}_{\max}(A_q^*)$

implies u_{σ_0} is $A^{(0)}$ -exact

$$u \sim \sum_{\substack{\sigma_j \in \text{sp}_b(\square_q) \\ \Im \sigma_j < \gamma}} \sum_{k=0}^{\infty} u_{\sigma_j - ik}, \quad u_{\sigma_j - ik} \in \mathcal{S}_{\sigma_j - ik}^q$$

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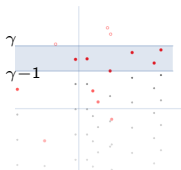
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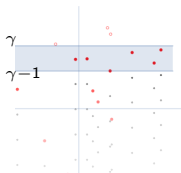
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The standard pairing $[\cdot, \cdot]_q$ on $\mathcal{D}_{\max}^q \times \mathcal{D}_{\max}^{q+1}$ descends to a nondegenerate pairing on

$$\bigoplus_{\sigma_0 \in \Sigma} \mathcal{H}_{\sigma_0}^q(A^{(0)}) \times \bigoplus_{\sigma'_0 \in \Sigma} \mathcal{H}_{\sigma'_0}^{q+1}(A^{*(0)}).$$

For the resulting pairing one has

$$[\sum_{\sigma_0 \in \Sigma} \mathbf{u}_{\sigma_0}, \sum_{\sigma'_0 \in \Sigma} \mathbf{w}_{\sigma'_0}]_q = \sum_{\sigma_0 \in \Sigma} [\mathbf{u}_{\sigma_0}, \mathbf{w}_{\sigma_0^*}], \quad \sigma_0^* = \overline{\sigma - i(2\gamma - 1)}$$

$\sigma_0 \in \Sigma$, $u_{\sigma_0} \in \mathcal{S}_{\sigma_0}^q$, and $\omega u_{\sigma_0} \in \mathcal{D}_{\min}^q \implies u_{\sigma_0} \in A_{q-1}^{(0)} \mathcal{S}_{\sigma_0-i}^{q-1}$

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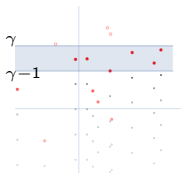
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Hilbert complexes

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$\mathcal{D} = (\mathcal{D}^0, \dots, \mathcal{D}^{m-1})$ with $\mathcal{D}_{\min}^q \subset \mathcal{D}^q \subset \mathcal{D}_{\max}^q$, $q = 0, \dots, m-1$,

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$$\mathfrak{G} = \bigcup_{\mathbf{d} \in \mathbb{N}_0^m} \mathfrak{G}_{\mathbf{d}} \leftrightarrow \text{Hilbert complexes associated with } A.$$

For each $\mathbf{d} \in \mathbb{N}_0^m$, the set $\mathfrak{G}_{\mathbf{d}}$ is an algebraic subvariety of $\prod_{q=0}^{m-1} \text{Gr}_{d_q}(\mathcal{E}^q)$.

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Let $V =$ finite-dimensional vector space, $\alpha : V \rightarrow V$ linear,
 $d_0, d_1 \in \mathbb{N}$. Then $\mathcal{Y} = \{(X, Y) \in \text{Gr}_{d_0}(V) \times \text{Gr}_{d_1}(V) : \alpha X \subset Y\}$
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$u \in \mathcal{D}_{\min}^q$: there is $v \in \mathcal{D}_{\min}^{q-1}$ such that

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$$\therefore \mathcal{D}_{\min}^q = [\ker A_{q-1, \max}^* \cap \mathcal{D}_{\min}^q] \oplus \text{rg } A_{q-1, \min}. \quad (A_q + A_{q-1}^*)u' \in x^{-\gamma} L_b^2$$

$$u' \in \ker A_{q-1, \max}^* \cap \mathcal{D}_{\min}^q.$$

$A \in x^{-1} \text{Diff}_b^1$ elliptic, on $x^{-\gamma} L_b^2$:

a. $\mathcal{D}_{\min}(A) = \mathcal{D}_{\max}(A) \cap \left(\bigcap_{\varepsilon > 0} x^{-\gamma+1-\varepsilon} H_b^1 \right)$

b. $\mathcal{D}_{\min}(A) = x^{-\gamma+1} H_b^m \iff \text{sp}_b(A) \cap \{\Im \sigma = -\nu/2\} = \emptyset.$

\mathcal{D}_{\min}

$$\dots \rightarrow \mathcal{D}_{\min}^{q-1} \rightarrow \mathcal{D}_{\min}^q \rightarrow \mathcal{D}_{\min}^{q+1} \rightarrow \dots$$

$$x^{-\gamma} L_b^2 = \text{rg } A_{q-1, \min} \oplus \ker A_{q-1, \max}^*$$

$u \in \mathcal{D}_{\min}^q$: there is $v \in \mathcal{D}_{\min}^{q-1}$ such that

$$u - A_{q-1}v \in \bigcap_{\varepsilon > 0} x^{-\gamma+1-\varepsilon} H_b^1.$$

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$$u' \in \ker A_{q-1, \max}^* \cap \mathcal{D}_{\min}^q. \quad \square_q u' = A_q^* A_q u' \in x^{-\gamma-1} H_b^{-1} \text{ so}$$

$$u' = u_c + \omega u_s$$

$$u_c \in \bigcap_{\varepsilon > 0} x^{-\gamma+1-\varepsilon} H_b^1$$

$$u_s = \sum_{j=1}^N u_{\sigma_j}, \quad u_{\sigma_j} \in S_{\sigma_j}^q, \quad \sigma_j \in \Sigma$$

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$$A_q \omega u_s = A_q u' - A_q u_c \in \bigcap_{\varepsilon > 0} x^{-\gamma-\varepsilon} L_b^2 \implies A_q^{(0)}(u_s) = 0 \text{ so}$$

$$\omega u_s \in \mathcal{D}_{\max}^q. \quad \text{Then } u_c = u' - \omega u_s \in \mathcal{D}_{\min}^q.$$

$$\mathcal{D}_{\max}^q \cap \bigcap_{\varepsilon > 0} x^{-\gamma+1-\varepsilon} H_b^1 \subset \mathcal{D}_{\min}^q$$

\mathcal{D}_{\min} $u \in \mathcal{D}_{\min}^q$: there is $v \in \mathcal{D}_{\min}^{q-1}$ such that

$$u - A_{q-1}v \in \bigcap_{\varepsilon > 0} x^{-\gamma+1-\varepsilon} H_b^1.$$

$$\mathcal{D}_{\min}^q \ni u = u' + A_{q-1}v \in [\ker A_{q-1, \max}^*] \oplus \operatorname{rg} A_{q-1, \min} \implies u' \in \mathcal{D}_{\min}^q$$

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Thus $\omega u_s \in \mathcal{D}_{\min}^q$, so u_s is $A^{(0)}$ -exact: $u_s = A_q^{(0)} v_s$,

$$\omega u_s = A_{q-1} \omega v_s + w,$$

Get

$$u' = (u_c + w) + A_{q-1} \omega v_s.$$

$$\dots \rightarrow \mathcal{D}_{\min}^{q-1} \rightarrow \mathcal{D}_{\min}^q \rightarrow \mathcal{D}_{\min}^{q+1} \rightarrow \dots$$

$$x^{-\gamma} L_b^2 = \operatorname{rg} A_{q-1, \min} \oplus \ker A_{q-1, \max}^*.$$

$$u_c \in \bigcap_{\varepsilon > 0} x^{-\gamma+1-\varepsilon} H_b^1$$

$$u_s = \sum_{j=1}^N u_{\sigma_j}, \quad u_{\sigma_j} \in \mathcal{S}_{\sigma_j}^q, \quad \sigma_j \in \Sigma$$

$$\mathcal{D}_{\max}^q \cap \bigcap_{\varepsilon > 0} x^{-\gamma+1-\varepsilon} H_b^1 \subset \mathcal{D}_{\min}^q$$

$$\begin{aligned} A_{q-1} \omega v_s &= \omega A_{q-1} v_s - i a_{q-1} (dw)(v_s) \\ &= \omega u_s + \omega x A_{q-1}^{(1)} v_s - i a_{q-1} (dw)(v_s) \\ &= \omega u_s - w, \quad w \in x^{-\gamma+1} H_b^\infty \end{aligned}$$

End

